

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q78854

Ulrich WEGMANN

Appln. No.: 10/731,011

Confirmation No.: 6346 Group Art Unit: Not Yet Assigned

Filed: December 10, 2003 Examiner: Not Yet Assigned

For: METHOD OF PRODUCING AN OPTICAL IMAGING SYSTEM

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/731,011

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a Communication from a

foreign patent office in a counterpart application citing such documents, together with an

English-language version (if not already included) of at least that portion of the Communication

indicating the degree of relevance found by the foreign patent office.

In addition, certain of the foreign language documents also have U.S. counterpart

publications, as evident from the enclosed Form SB/08. Finally, concise statements of relevance

for certain ones of the documents being submitted are found in the Background discussion of the

above-identified application.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 36,359

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CUSTOMER NUMBER

GFL/plr

Date: May 10, 2004, 2004

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Substitute for Form 1449 A & B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	1	

¹ Comp	olete if Known
Application Number	10/731,011
Confirmation Number	6346
Filing Date	December 10, 2003
First Named Inventor	Ulrich WEGMANN
Art Unit	2852
Examiner Name	Not Yet Assigned
Attorney Docket Number	O78854

U.S. PATENT DOCUMENTS						
		Document Nu	ımber			
Examiner Initials*	Cite No.1	Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US 2002/0126266	A1	09-12-2002	Braat et al	
		US 6,542,219	B2	04-1-2003	Braat et al.	
		US 6,373,552	B1	04-16-2002	Braat et al.	
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		US 5,100,237	A	03-31-1992	Wittekoek et al.	
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		US 5,828,455	A	10-27-1998	Smith et al.	

FOREIGN PATENT DOCUMENTS							
Examiner Cite	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	
Initials*	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)		Applicant of Cited Document	Translation ⁶
		DE	101 23 725	A1	11/21/2002	CARL ZEISS	NO
		JР	10-154657	A	6/9/1998	NIKON CORPORATION	ABSTRACT
·		EP	1 022 617	A2 ·	7/26/2000	ASM LITHOGRAPHY B.V.	CORRS TO US 2002/126266
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		DE	101 09 929				CORRS TO US 2002/0001088
-							
	···						

Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
		C. HOFMANN, ET AL "NANOMETER-ASPHAEREN", FEINWERKTECHNIK & MESSTECHNIK 99 (1991) VOL. 10 CARL HANSER VERLAG, MUNICH GERMANY, PAGES 437 -440	

Examiner Signature	Date Cons	sidered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.